

10/529223

JC06 Rec'd PCT/PTO 25 MAR 2005

Customer No. 22,852
Attorney Docket No. 05788.0348

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Giacomo GORNI et al.)
Application No.: Not Yet Assigned) Group Art Unit:
Filed: March 25, 2005) Examiner:
For: INTEGRATED OPTICAL)
DEVICE)

U.S. National Phase of International Application No. PCT/EP2002/010896

**MAIL STOP PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), applicants bring to the Examiner's attention the documents listed on attached Form PTO/SB/08 and cited in the enclosed international search report. Copies of the listed foreign patent document and listed non patent literature document are attached. Applicants respectfully request that the Examiner consider the documents listed on attached Form PTO/SB/08 and indicate that they were considered by making an appropriate notation on this form.

This Information Disclosure Statement is being filed with the above-referenced application.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed

10/529223
JC06 Rec'd PCT/PTO 25 MAR 2005
Customer No. 22,852
Attorney Docket No. 05788.0348

documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: March 25, 2005

By:



Ernest F. Chapman
Reg. No. 25,961

Enclosures
EFC/FPD/gah

| | | | | | |
|--|---|----|---|-------------------------------|----------------|
| IDS Form PTO/SB/08: Substitute for form 1449A/PTO | | | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | | | <i>Application Number</i> | 10/1529223 |
| | | | | <i>Filing Date</i> | March 25, 2005 |
| | | | | <i>First Named Inventor</i> | Giacomo GORNI |
| | | | | <i>Art Unit</i> | |
| | | | | <i>Examiner Name</i> | |
| Sheet | 1 | of | 1 | <i>Attorney Docket Number</i> | 05788.0348 |

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004

FOREIGN PATENT DOCUMENTS

| Examiner Initials | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Translation ⁶ |
|-------------------|-----------------------|---|--------------------------------|--|--|--------------------------|
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | | | | |
| | | EP-0 647 861 A1 | 04-12-1992 | AT&T CORP. | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials ¹ | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Translation ⁶ |
|--------------------------------|-----------------------|---|--------------------------|
| | | Mechin et al.; "ADD-DROP MULTIPLEXER WITH UV-WRITTEN BRAGG GRATINGS AND DIRECTIONAL COUPLER IN SiO ₂ -Si INTERGRATED WAVEGUIDES"; Journal of Lightwave Technology, Vol. 19, No. 9, (2001) | |
| | | | |
| | | | |
| | | | |
| | | | |

| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.